Docket No. 216272US-6X PCT
ENKEL 8293

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MATS LEIJON ET AL

SERIAL NO: 09/926,608 GAU: UNASSIGNED

FILED: NOVEMBER 26, 2001 EXAMINER: UNASSIGNED

FOR: A WIND POWER PLANT AND A METHOD FOR CONTROL

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:
Applicant(s) wish to disclose the following information.

REFERENCES

■ The Applicant(s) wish to make of record the references listed on the attached form PTO-1449, as filed in "holding application" Serial No. 09/147,325 filed February 17, 1999, which are relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 (copy enclosed) Response to Petition under 37 CFR 1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 CFR 1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Because the references were cited by foreign examiners in a foreign case that corresponds with one of the U.S. "bulk filing applications", or were uncovered by the present assignee in the course of performing supplemental searches, the references are believed to be relevant to the present application and bulk filing applications. Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 to the Patent Office consistent with the requirements in the Response to Petition.

□ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

□ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

□ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

□ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 Issue 2: dated 02/21/00	Docket Number: 216272US-6X PCT	Application Number 09/926,608
	Applicant(s): Mats Leijon et al	
	Filing Date: November 26, 2001	Group Art Unit:

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 4,292,558	9/29/1981	Carl Flick et al			
	2	US 4,656,316	4/7/1987	Hans-Juergen Meltsch			
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Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

Issue2: dated 02/21/00

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	1	GB 1,319,257	6/6/1973	Anders R. Andersson et al		
	2	GB 1,322,433	7/4/1973	Siemens Akstiengesellschaft		
	3	GB 2,070,341	9/3/1981	Hans-Georg Raschbichler et al		
	4	WO 98/20598	5/14/1998	Jan-Anders Karlfeldtsgatan et al		
	5	WO 98/20602	5/14/1998	Soren Berggren		
	6	WO 98/34239	8/6/1998	Gunnar Steneorpsgatan et al		
	7	WO 99/28922	6/10/1999	Thorsten Schutte et al		
	8	WO 99/29005	6/10/1999	Mats Leijon et al		
	9	WO 99/29023	6/10/1999	Peter Carstensen et al		
	10	WO 99/29025	6/10/1999	Mats Leijon et al		
	11	EP 0056580 A1	7/28/1982	Jacobus F.H. Van der Vegt		
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

Issue2: dated 02/21/00

			OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)
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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (Corrected Listing of Original List)	Docket Number: 216272US-6X PCT	Application Number 09/926,608
	Applicant(s): Mats Leijon et al	
	Filing Date: November 26, 2001	Group Art Unit:

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US1304451	5/20/19	L. H. Burnham			
	2	US1418856	6/2/22	Robert B. Williamson			
	3	US1481585	1/22/24	James Robert Beard			
	4	US1728915	9/24/29	E. P. Blankenship et al			
	5	US1742985	1/7/30	L. H. Burnham			
	6	US1747507	2/18/30	Robert B. George			
	7	US1756672	4/29/30	John M. Barr			
	8	US1762775	6/10/30	Albert G. Ganz			
	9	US1781308	11/11/30	Mauritz Vos			
	10	US1861182	5/31/32	F. Hendey et al			
	11	US1974406	9/25/34	Vincent G. Apple et al			
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	16	US2251291	8/5/41	L. O. Reichelt			
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	18	US2295415	9/8/42	G.R. Monroe			
	19	US2415652	2/11/47	R. B. Norton			
	20	US2424443	7/22/47	B. C. Evans			
	21	US2436306	2/17/48	J. S. Johnson			
	22	US2446999	8/17/48	G. Camilli			
	23	US2459322	1/18/49	G. T. Johnston			
	24	US2462651	2/22/49	H. W. Lord			
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	27	US2780771	2/5//57	B. Lee			
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	34	US2975309	3/14/61	M. Seidner			
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INFORMATION DISCLOSURE CITATION LIST
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(Corrected Listing of Original List)

	45	US3418530	11/24/68	W. H. Cheever			
	46	US3435262	3/25/69	R. B. Bennett et al			
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	51	US3560777	2/2/71	W. Moeller			
	52	US3593123	7/13/71	A. C . Williamson			
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	61	US3727085	4/10/73	P. B. Goetz et al			
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	66	US3781739	12/25/73	L. Meyer			
	67	US3792399	2/17/74	W. McLyman			
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	74	US3932779	1/13/76	A. Madsen			
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	85	US4031310	6/21/77	L. Jachimowicz			
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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

	97	US4106069	8/8/78	J. Trautner et al			
	98	US4107092	8/15/78	R. Carnahan et al			
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	142	US4360748	11/23/82	H-G Raschbichler et al			
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

	148	US4403163	9/6/83	Rarmerding et al			
	149	US4404486	9/13/83	T. Keim et al			
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	153	US4426771	1/24/84	D. Wang et al			
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	170	US4557038	12/10/85	M. Wcislo el al			
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	185	US4692731	9/8/87	H. Osinga			
	186	US4723104	2/22/88	F. Rohatyn			
	187	US4737704	4/12/88	S. Kalinnikov et al			
	188	US4745314	5/17/88	J. Nakano			
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	191	US4795933	1/3/89	K. Sakai			
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Examiner	Date Considered

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

	200	US4894284	1/16/90	S. Yamanouchi et al			
	201	US4914386	4/3/90	S. Zocholl			
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	230	US5304883	4/19/93	J. Denk			
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	232	US5321308	6/14/93	A. Johncock			
	233	US5323330	6/21/93	G. Asplund et al			
	234	US5325008	6/28/94	J. Grant			
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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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Subtotal	**259**						

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	1	AT399790	7/25/95	Austria		
	2	BE565063	2/23/57	Belgium		
	3	CH391071	4/30/65	Switzerland		
	4	CH534448	2/28/73	Switzerland		
	5	CH539328	7/4/73	Switzerland		
	6	CH657482	8/29/86	Switzerland		
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	8	DD138840	11/21/79	Germany DDR		
	9	DE1638176	6/24/71	Germany		
	10	DE1807391	5/27/70	Germany		
	11	DE2050674	5/19/71	Germany		
	12	DE2155371	5/17/73	Germany		
	13	DE2400698	7/10/75	Germany		
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	15	DE2656389	6/15/78	Germany		
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	28	DE3008818	9/10/81	Germany		
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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	191	JP1129737	5/23/89	Japan		
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	193	JP3245748	2/23/90	Japan		
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	195	JP5290947	4/8/92	Japan		
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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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	200	JP6196343	12/22/92	Japan		
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	209	LU67199	3/14/72	Luxembourg		
	210	SE255156	2/25/69	Sweden		
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	212	SE341428	12/27/71	Sweden		
	213	SE453236	1/20/82	Sweden		
	214	SE457792	6/12/87	Sweden		
	215	SE502417	12/29/93	Sweden		
	216	SE90308	9/21/37	Sweden		
	217	SU1019553	1/6/80	USSR		
	218	SU1511810	5/26/87	USSR		
	219	SU425268	9/27/74	Soviet Union		
	220	SU694939	1/7/82	Soviet Union		
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	222	SU955369	8/30/83	Soviet Union		
	223	WO8202617	8/5/82	PCT		
	224	WO8502302	5/23/85	PCT		
	225	WO9011389	10/4/90	PCT		
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	234	WO9321681	10/28/93	PCT		
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	250	WO9745912	12/4/97	PCT		

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

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	5	OD005	Ohne Tranformator direkt ins Netz; Owman et al, ABB, AB; 2/8/99; pp48-51
	6	OD006	Submersible Motors and Wet-Rotor Motors for Centrifugal Pumps Submerged in the Fluid Handled; K.. Bienick, KSB; 2/25/88; pp9-17
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	8	OD008	Eine neue Type von Unterwassermotoren; Electrotechnik und Maschinenbam, 49; 8/1931; pp2-3
	9	OD009	Problems in design of the 110-5OokV high-voltage generators; Nikiti et al; World Electrotechnical Congress; 6/21-27/77; Section 1. Paper #18
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	14	OD014	Optimizing designs of water-resistant magnet wire; V. Kuzenev et al; Elektrotekhnika, Vol 59, No 12, pp35-40, 1988
	15	OD015	Zur Entwicklung der Tauchpumpenmotoren; A. Schanz; KSB, pp19-24
	16	OD016	Direct Generation of alternating current at high voltages; R. Parsons; IEEE Journal, Vol 67 #393, 1/15/29; pp1065-1080
	17	OD017	Stopfbachslose Umwalzpumpen- ein wichtiges Element im modernen Kraftwerkbau; H. Holz, KSB 1, pp13-19, 1960
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	26	OD026	Six phase Synchronous Machine with AC and DC Stator Connections, Part II:Harmonic Studies and a proposed Uninterruptible Power Supply Scheme; R. Schiferl et al.;8/1983 pp 2694-2701
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	28	OD028	Reactive Power Compensation; T. Petersson; 1993; pp 1-23
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Subtotal	**43**		

GRAND TOTAL	**671**		

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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (additional to original listing)	Docket Number: 216272US-6X PCT	Application Number 09/926,608
	Applicant(s): Mats Leijon et al	
	Filing Date: November 26, 2001	Group Art Unit:

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
	3	US 2,409,893	10/22/46	W.W. Pendleton et al			
	4	US 2,650,350	8/25/53	P.D. Heath			
	5	US 2,749,456	06/05/56	F.O. Luenberger			
	6	US 3, 014, 139	12/19/61	L.P. Shildneck			
	7	US 3,197,723	7/27/65	I.K.Dortort			
	8	US 3,392,779	7/16/68	K.B. Tilbrook			
	9	US 3,411,027	11/12/68	H. Rosenberg			
	10	US 3,541,221	11/17/70	M.Aupoix et al			
	11	US 3,571,690	3/23/71	V V A V Lataisa			
	12	US 3,651,244	3/21/72	D.A. Silver et al			
	13	US 3,660,721	5/2/72	L.L.Baird			
	14	US 3,666,876	5/30/72	E.O.Forster			
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	19	US 3,813,764	6/4/74	E. Tanaka et al			
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	22	US 3,993,860	11/23/76	J.P.Snow et al			
	23	US 4,008,367	2/15/77	H. Sunderhauf			
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Subtotal	**65170**						

Examine r	Date Considered

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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	1	DE 209,313	4/25/84	Germany		
	2	DE 134,022	12/28/01	Germany		
	3	DE 1,465,719	5/22/69	Germany		
	4	DE 19,020,222	3/13/97	Germany		
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	7	DE 3,925,337	2/7/91	Germany		
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	11	DE 975,999	1/10/63	Germany		
	12	EP 0,102,513	1/22/86	European		
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	16	EP 0,620,630	10/19/94	European		
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	23	FR 916,959	12/20/46	France		
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	43	PCT SE 91/00077	4/23/91	Int'l Search Report		
	44	WO 91/15755	10/17/91	PCT		
	45	WO 97/29494	8/14/97	PCT		
	46	WO 98/40627	9/17/98	PCT		
	47	WO 98/43336	10/1/98	PCT		
	48	PCT/DE 90/00279	11/27/90	Int'l Search Report		

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ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

	49	PCT/CN 96/00010	10/23/96	Int'l Search Report		
	50	PCT/FR 98/00468	6/8/98	Int'l Search Report		
	51	PCT/SE 98/02148	6/10/99	Int'l Prelim. Examination Report		
Subtotal	**51**					

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	1	OD 044	A test installation of a self-tuned ac filter in the Konti-Skan 2 HVDC link; T. Holmgren,G. Asplund, S. Valdemarsson, P. Hidman of ABB; U. Jonsson of Svenska Kraftnat; O. loof of Vattenfall Vastsverige AB; IEEE Stockholm Power Tech Conference 6/1995, pp 64-70
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	3	OD 046	36-Kv. Generators Arise from Insulation Research; P. Sidler; *Electrical World* 10/15/1932, ppp 524
	4	OD 047	Oil Water cooled 300 MW turbine generator;L.P. Gnedin et al;*Elektrotechnika* ,1970, pp 6-8
	5	OD 048	J&P Transformer Book 11th Edition;A. C. Franklin et al; owned by Butterworth – Heinemann Ltd, Oxford Printed by Hartnolls Ltd in Great Britain 1983, pp29-67
	6	OD 049	Transformerboard; H.P. Moser et al; 1979, pp 1-19
	7	OD 050	The Skagerrak transmission – the world's longest HVDC submarine cable link; L. Haglof et al of ASEA; ASEA Journal Vol 53, Number 1-2, 1980, pp 3-12
	8	OD 051	Direct Connection of Generators to HVDC Converters: Main Characteristics and Comparative Advantages; J.Arrillaga et al; *Electra* No. 149, 08/ 1993, pp 19-37
	9	OD 052	Our flexible friend article; M. Judge; *New Scientist*, 05/10/1997, pp 44-48
	10	OD 053	In-Service Performance of HVDC Converter transformers and oil-cooled smoothing reactors; G.L. Desilets et al; *Electra* No. 155, 08/1994, pp 7-29
	11	OD 054	Transformateurs a courant continu haute tension-examen des specifications; A. Lindroth et al; *Electra* No 141, 04/1992, pp 34-39
	12	OD 055	Development of a Termination for the 77 kV-Class High Tc Superconducting Power Cable; T. Shimonosono et al; IEEE Power Delivery, Vol 12, No 1, 01/1997, pp 33-38
	13	OD 056	Verification of Limiter Performance in Modern Excitation Control Systems; G. K. Girgis et al; IEEE Energy Conservation, Vol. 10, No. 3, 09/1995, pp 538-542
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	15	OD 058	Design, manufacturing and cold test of a superconducting coil and its cryostat for SMES applications; A. Bautista et al; IEEE Applied Superconductivity, Vol 7, No. 2, 06/1997, pp 853-856
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	27	OD 071	Das Einphasenwechselstromsystem hoherer Frequenz; J.G. Heft; Elektrische Bahnen eb; 12/1987, pp 388-389
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	32	OD 076	MPTC: An economical alternative to universal power flow controllers;N. Mohan; EPE 1997, Trondheim, pp 3.1027-3.1030
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	34	OD 079	Das Handbuch der Lokomotiven (hungarian locomotive V40 1´D´); B. Hollingsworth et al; Pawlak Verlagsgesellschaft; 1933, pp. 254-255
	35	OD 080	Synchronous machines with single or double 3-phase star-connected winding fed by 12-pulse load commutated inverter. Simulation of operational behaviour; C. Ivarson et al; ICEM 1994, International Conference on electrical machines, Vol. 1, pp 267-272
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	39	OD 084	Tranforming transformers; S. Mehta et al; *IEEE Spectrum*, July 1997, pp. 43-49
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INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

Subtotal	53		
GRAND TOTAL	169		

Examiner	Date Considered
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INFORMATION DISCLOSURE CITATION LIST ALTERNATE FORM PTO-1449 (additional to original listing)	Docket Number: 216272US-6X PCT	Application Number 09/926,608
	Applicant(s): MATS LEIJON ET AL	
	Filing Date: NOVEMBER 26, 2001	Group Art Unit:

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1						
	2						
	3						
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Examiner	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	TRANSLATION NO
	1	WO 9745908	12/4/97	PCT		
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Subtotal						

Examiner	Date Considered
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449

			OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)
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Examiner	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.